Using Neural Cells to Improve Image Textual Line Segmentation

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Overview

• Motivation
• Neural Cells for Line Counting
• Hybrid Segmentation
• Evaluation Methods
Motivation

• To do OCR/HWR, usually need to break up into lines.
• Most use common image processing &/or statistics.
• Techniques work fine on small / homogeneous sets.
• Huge heterogeneous DBs are a challenge.
• Activity or Connectivity vs intentionality.
• Deep Neural Nets can be taught some about humaneness. Can they be useful for line segmentation?
Base System: “PreDNN”

We start off with a system we call “PreDNN” with the following properties:

• Multi-swath projection
• Bi-directional Dynamic programming with two-pass seam carving (first detects peaks, the troughs)
• Grayscale-based
• Use of connected components to help detect false lines or falsely merged lines.
• Statistical analyses to discard overgenerated peaks or troughs

We believe that this PreDNN rivals the state of the art.
DNNs to Count Lines

Want to create a neural network that can determine if we find well-segmented lines.

<table>
<thead>
<tr>
<th>Category</th>
<th>Image</th>
</tr>
</thead>
<tbody>
<tr>
<td>A No-text Line</td>
<td>A</td>
</tr>
<tr>
<td>B Single Text Line</td>
<td>B</td>
</tr>
<tr>
<td>C Vertical Bar Only</td>
<td>C</td>
</tr>
<tr>
<td>D Less Than One Text Line</td>
<td>D</td>
</tr>
<tr>
<td>E Two Fragment Lines</td>
<td>E</td>
</tr>
<tr>
<td>F More than one but less than two lines</td>
<td>F</td>
</tr>
<tr>
<td>G Two-plus Line</td>
<td>G</td>
</tr>
</tbody>
</table>
## Tagged Cells: Counts

<table>
<thead>
<tr>
<th>Description</th>
<th># in TRAIN</th>
<th># in TEST</th>
</tr>
</thead>
<tbody>
<tr>
<td>No-text Line</td>
<td>7330</td>
<td>625</td>
</tr>
<tr>
<td>Single Text Line</td>
<td>12651</td>
<td>848</td>
</tr>
<tr>
<td>Vertical Bar Only</td>
<td>703</td>
<td>89</td>
</tr>
<tr>
<td>Less Than One Text Line</td>
<td>16813</td>
<td>1098</td>
</tr>
<tr>
<td>Two Fragments</td>
<td>5027</td>
<td>407</td>
</tr>
<tr>
<td>More than one less than two</td>
<td>8573</td>
<td>801</td>
</tr>
<tr>
<td>Two-plus Line</td>
<td>14162</td>
<td>1409</td>
</tr>
<tr>
<td><strong>Totals</strong></td>
<td><strong>70.5K</strong></td>
<td><strong>5.3K</strong></td>
</tr>
</tbody>
</table>
Note that the “lined-ness” of a cell is still the same even if the image has been rotated 180 degrees or flipped with respect to y-axis. We will refer to these as the “legal permutations.”

Using the legal permutations, we have 4 times more train/test:

280K training    21K testing.
The DNN

We train a Convolutional Neural Network for line count prediction.

We use Google’s TensorFlow.
And use a variant of their MNIST-Digit-Recognition recipe.

Except, for speed, we reduce the parameters:

- Kernel Size = 3
- Layer #1 = 16
- Layer #2 = 32
- Layer #3 = 216

This yields a network with 91.0% accuracy.

HOWEVER, we can get about 2% improvement by either considering the four permutations or by overlapping decision regions and voting.
Potential Usage:
How about if we just apply the system to PreDNN’s outputs and then try to correct?

Example to the right is one where line segmenter does poorly. *Can we fix it?*
Start off by colorizing the image.

For each swath, cut into overlapping regions.

Predict color of each region.

Use voting to predict most likely color of each intersected area.
Line Counter = Line Fixer

Compute “Greenness”:

\[
\frac{\# \text{ GREEN Cells} + 0.1 \times (\#\text{PINK} + \#\text{PURPLE}) \text{ Cells}}{\# \text{GREEN} + 0.1 \times (\#\text{PINK} + \#\text{PURPLE}) + \#\{\text{BLUE, RED, YELLOW, ORANGE}\}}
\]

Greenness = 69.9%
Line Counter = Line Fixer

We created formulas that comparing each row to the one above/below:

**Symptoms of Potential False Split:**
- Blue/Blue: Likely split
- Blue/Pink: Possible split
- Green/Pink: Slight chance of split
- Green/Green: Probably OK
  Etc.

**Symptoms of Potential False Merge:**
- Red/Green: Likely Merge
- Red/Red: Almost definite merge
- Red/Orange: Probable merge
We handle potential false splits first, then false merges. We sort from the most likely to the least, and throw out candidates with low scores.

For potential false splits (and fusions would be similar):

- Start with row pair.
- Offline, merge cells and evaluate.

- If the results are better, replace the original with the new.

- If results are worse, though, skip that potential pairing.
Line Counter = Line Fixer

Using this process, we are able to completely fix what was broken. The resultant image’s line segmentation has 100% greenness!
Evaluation Methods

**Option 1**: Score against human-vetted lines. Do-able, but costly to evaluate.

**Option 2**: Evaluate by Greenness
   Very inexpensive, but “cheating” a bit

**Option 3**: Evaluate by Recognition
   Ultimately, our end goal, so this is good eval.
Evaluation

**Option 2: Evaluate by Greenness**

<table>
<thead>
<tr>
<th></th>
<th>PreDNN</th>
<th>PostDNN</th>
</tr>
</thead>
<tbody>
<tr>
<td># of 95+% green</td>
<td>69</td>
<td>92</td>
</tr>
<tr>
<td># of 90-94% green</td>
<td>47</td>
<td>58</td>
</tr>
<tr>
<td># of 80-89% green</td>
<td>52</td>
<td>46</td>
</tr>
<tr>
<td># of 70-79% green</td>
<td>31</td>
<td>14</td>
</tr>
<tr>
<td># Below 70% green</td>
<td>20</td>
<td>9</td>
</tr>
<tr>
<td>Average Greenness</td>
<td>86.88%</td>
<td>91.30%</td>
</tr>
</tbody>
</table>
Evaluation

Option 3: Evaluate by Recognition:

We built a test collection of 565 handwritten, prose-style US legal documents with 137K test words.

Also trained a handwriting recognition system using comparable but different training documents. Then ran recognition using both PreDNN and PostDNN systems:

<table>
<thead>
<tr>
<th></th>
<th>PreDNN</th>
<th>PostDNN</th>
</tr>
</thead>
<tbody>
<tr>
<td>HWR Word Accuracy</td>
<td>83.9%</td>
<td>85.1%</td>
</tr>
</tbody>
</table>

Line Segmentation cost 19% more, but recognition costs 11% less because there are fewer lines. So for fairly comparable costs, we get 1.2% absolute gain.
Synopsis

- Can detect line count at the cellular level.
- Greenness: allows one to detect areas of potential problems.
- Neural improves segmentation of an already-good system.
  - We expect it to be applicable w/ other systems.
- Final segmentation actually results in HWR improvements.

ANY QUESTIONS?